

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or **Fax** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where applicable. Further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

58937 7590 06/06/2007

WOLFF LAW OFFICE, PLLC  
 P.O. BOX 9855  
 CHAPEL HILL, NC 27515-9855

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Kevin Alan Wolff	(Depositor's name)
<i>[Signature]</i>	(Signature)
9/6/07	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/803,969	03/19/2004	Ashok Burton Tripathi	STI-PAUS0001	6472

TITLE OF INVENTION: SYSTEMS AND METHODS FOR RECEIVER UPGRADE

09/07/2007 NNGUYEN2 00000054 10003969

01 FC:2501 700.00 OP  
 02 FC:1504 300.00 OP

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	09/06/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
TRINH, SONNY	2618	455-349000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Wolff Law Offices, PLLC  
 2. Kevin A. Wolff  
 3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Superconductor Technologies, Inc.

Santa Barbara, CA (US)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☒ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assigned or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*[Signature]*

Date

9/6/07

Typed or printed name

Kevin Alan Wolff

Registration No.

42,233

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Wolff Law Offices, PLLC  
P.O. Box 9855, Chapel Hill, NC 27515-9855  
(919) 933-9684 (tel) (919) 933-9685 (fax)

*Wolff Law Offices, PLLC*

# Fax

**To:** USPTO - Mail Stop Issue Fee **From:** Kevin Alan Wolff

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**Fax:** 571-273-2885 (Issue Fee Fax) **Pages:** 6

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**Phone:** 571-272 1000 **Date:** 09/06/07

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**Re:** Issue Fee and Publication Fee Payment **CC:**  
for Application No. 10/803,969

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☒ **Urgent**    ☐ **For Review**    ☐ **Please Comment**    ☐ **Please Reply**    ☐ **Please Recycle**

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• **Comments:**

Dear Issue Fee Payment Branch:

Please find enclosed in this correspondence payment of \$1,000.00 for the Issue Fee and Publication Fee Payment due on or before September 6, 2007, for Application No. 10/803,969

Thank you for your attention to this matter.

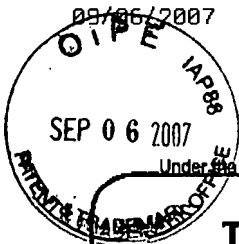
Kevin Alan Wolff

**WOLFF LAW OFFICES, PLLC - FILING RECORD**

Attorney Docket No.: **STI - PAUS0001**  
Today's Date: **September 6, 2007**  
Attorney: **Kevin A. Wolff**  
Fee Transmitted Herewith: **\$1,000.00**  
Serial No.: **10/803,969**  
Filing Date: **March 19, 2004**  
Title: **SYSTEMS AND METHODS FOR RECEIVER UPGRADE**  
Inventors: **Ashok Burton Tripathi**

**VIA FASCIMILE****THE TRANSMISSION HEREOF ACKNOWLEDGES RECEIPT OF:****DOCUMENTS BEING FILED:**

- (1) Transmittal Form
- (2) Issue Fee and Publication Fee Payment Letter
- (3) Part B - Fee(s) Transmittal Form
- (4) Credit Card Payment Form – PTO-2038



PTO/3B/21 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/603,969

Filing Date

March 19, 2004

First Named Inventor

Ashok Burton Tripathi

Art Unit

2618

Examiner Name

Sonny Trinh

Attorney Docket Number

STL-PAUS0001

## ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a



Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

1) Filing Record

2) Issue Fee and Publication Fee Payment  
Letter

3) Credit Card Payment Form PTO-2038

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Wolff Law Offices, PLLC, P.O. Box 9855, Chapel Hill, NC 27515-9855

Signature

/Kevin Alan Wolff/

Printed name

Kevin Alan Wolff

Date

September 6, 2007

Reg. No.

42,233

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

/Kevin Alan Wolff/

Typed or printed name

Kevin Alan Wolff

Date

September 6, 2007

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**PATENT APPLICATION: 10/803,969**  
**DOCKET NO.: STI-PAUS0001**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ashok Burton Tripathi

Application No: 10/803,969

Group Art Unit: 2618

Filed: March 19, 2004

Examiner: Sonny Trinh

For: METHODS AND APPARATUS FOR CLAMPING TOOLS

**ISSUE FEE AND PUBLICATION FEE PAYMENT**

**Mail Stop ISSUE FEE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sirs:

Applicant hereby submits to the USPTO the Issue Fee of \$700 and a Publication Fee of \$300.00 for the issuance of patent application no. 10/803,969. Attached is a completed FEE TRANSMITTAL sheet and a form PTO-2038 for charging the Issue Fee and Publication Fee, totaling \$1000.00, to a credit card. Please charge any and all fees necessary to facilitate issuance of this patent to the credit card indicated in attached form PTO-2038. Please advise the undersigned if there is any problem in completing payment of the issue fee and issuing the patent.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kevin Wolff", written over a horizontal line.

Kevin Alan Wolff  
Reg. No. 42,233  
Wolff Law Offices, PLLC  
P.O. Box 9855  
Chapel Hill, NC 27515-9855  
Tel.: 919-933-9684 Fax: 919-933-9685

Date: September 6, 2007